



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/742,571	12/20/2000	MORGAN & FINNEGAN, L.L.P. Philip Shi-Lung Yu	YOR9-2000-0310(1963-5012)

CONFIRMATION NO. 8516

## FORMALITIES LETTER



\*OC000000005889127\*

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154

Date Mailed: 03/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip Shi-Lung Yu, et al.  
Serial No. : 09/742,571  
Filed : December 20, 2000  
For : DYNAMIC PROXY RECONFIGURATION SYSTEM AND METHOD TO  
SUPPORT SHARING OF EXTRA CAPACITY

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

ASST. COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

S I R :

In response to the Notice to File Missing Parts (FORM PTO-1533) mailed March 21, 2001, applicants enclose herewith an executed Declaration/Power of Attorney in the above-identified application which was filed on December 20, 2000 without a Declaration, pursuant to 37 C.F.R. §1.53.

Also enclosed is FORM-PTO-1533 and a check in the amount of One Hundred Thirty Dollars (\$130.00) to cover the surcharge for filing the applicants' Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). The Commissioner is hereby authorized to charge any deficiencies in fees to Deposit Account No. 13-4500. Order No. 1963-5012. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Dated: April 6, 2001

Mark J. Abate  
Registration No. 32,527

CORRESPONDENCE ADDRESS:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip Shi-Lung Yu, et al.  
Serial No. : 09/742,571  
Filed : December 20, 2000  
For : DYNAMIC PROXY RECONFIGURATION SYSTEM AND METHOD  
TO SUPPORT SHARING OF EXTRA CAPACITY

CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the attached:

1. Assignment Recordation; Executed Assignment; check in the amount of \$40.00 to cover recording fee;
2. Response to Notice to File Missing Parts; Executed Declaration; PTO-1533; check in the amount of \$130 to cover filing fees;

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C., 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: April 6, 2001

By: 

Mark J. Abate  
Registration No. 32,527

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